$\mathbf{HellerE}$

275 Middlefield Road Menlo Park, CA 94025-3506 Main: (650) 324-7000

Fax: (650) 324-0638

RECEIVED CENTRAL FAX CENTER

JUL 1 8 2005

Facsimile Transmittal

Confidential and Privileged Attorney-Client Communication

Centralized Facsimile Number U.S. Patent & Trademark Office

Telephone:

(571) 273-8300 Fax:

From: Telephone:

David C. Foster (650) 324-7029

No. of Pages:

2 (including cover)

Date:

July 18, 2005

Re: U.S. Patent Application Serial No. 09/919,758

Filed: July 31, 2001

Title: METHOD FOR GENERATING TRANSCRIPTIONALLY ACTIVE DNA

FRAGMENTS

Inventors: Xiaowu Liang, et al. Our Reference: 40019-0008

Dear Sir:

Attached are the following documents to be made of record for the above-referenced application:

- This Fax Cover Sheet (1 page); 1.
- 2. Transmittal Form (1 page);
- Power of Attorney by Assignee (1 page); 3.
- Executed Power of Attorney by Assignee to Exclusion of Inventor Under 37 CFR 3.71 ... 4. (1 page);
- Copy of previously filed Assignment (1 page). 5.

No additional fees are believed due. The Commissioner is authorized to charge any additional fees which may be required to Deposit Account No. 08-1641 (Docket No. 40019-0008).

SV 2138444 vI (40019.0008)

The information contained in this communication is intended only for the use of the addressee and may be confidential, may be attorney-client privileged and may constitute inside information. Unauthorized use, disclosure or copying is strictly prohibited, and may be unlawful. If you have received this communication in error or you have not received all pages, please call the sender immediately at (650) 833-7328.

Heller Ehrman White & McAuliffe LLP

RECEIVED CENTRAL FAX CENTER

2002

JUL 1 8 2005

n (+) inside this box Π

PTO/SB/21 (6-99)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/919,758 **TRANSMITTAL** Filing Date July 31, 2001 **FORM** First Named Inventor Xiaowu Liang (to be used for all correspondence after initial filing) Group/Art Unit **Examiner Name** T.E. Strzelecka 40019-0008 Total Number of Pages in This Submission Afterney Docket Number ENCLOSURES (check all that apply) Power of Attorney and Correspondence After Allowance Communication to 冈 Fee Transmittal Form Address Indication Form) Group Fee Attached Appeal Communication to Board of Statement Under 37 CFR 3.73(b) Appeals and Interferences Amendment Terminal Disclaimer Proprietary Information After Final Request for Refund Status Letter Version With Markings Showing Changes STAMPED RETURN POSTCARD Affidavits/declaration(s) **Extension of Time Request** Information Disclosure Statement with Remarks Form PTO-1449 AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT 08-1641 FOR ANY FEES DUE IN CONNECTION WITH THIS PAPER. Certified Copy of Priority Document(s) Response to Missing Parls/ Incomplete Application Response To Missing Parts Under 37 Cfr 1.52 Or 1.53 Copy Of Notice SIGNATURE OF APPLICANT, ATTORNEY OR AGENT Firm or HELLER EHRMAN LLP David C. Foster, Reg. No. 44,685 Individual name 275/Middleffeld Road, Menlo Park, California 94025 Telephone: (650) 324-7000 Facsimile: (650) 324-0638 Signature Date 33123 Customer Number: **CERTIFICATE OF EXPRESS MAILING** Thereby certify that this correspondence is being transmitted to the U.S. Patent and Trademark Office via facsimile to USPTO central facsimile number [571-273-8300 and addressed to: U.S. Patent and Trademark Office, Alexandria. Typed or printed name Sylvia Rogers Date Signature

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. SV 2128989 v1

PTO/SB/81 (11-04) Approved for use through 11/30/2005. QMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond

POWER OF ATTORNEY and **CORRESPONDENCE ADDRESS INDICATION FORM**

Application Number	09/919,758		
Filing Date	July 31, 2001		
First Named Inventor	Xiaowu Liang		
Title	Method for Generaling		
Art Unit	1837		
Examiner Name	T. E. Strzelecka		
Attorney Docket Number	40019-8884 40019-6208		

I hereby revoke all previous powers of attorney given in	the above-ide	ntified application.		
I hereby appoint:		•	7	
Practitioners associated with the Customer Number: OR	331	23		
	•			
Name		Registration Number		
			<u> </u>	
				
· · · · · · · · · · · · · · · · · · ·				
as my/our attomey(a) or agent(s) to prosecute the application identifie Trademark Office connected therewith.	d above, and to t	ransact all business in t	he United States Patent and	
Please recognize or change the correspondence address for the above	e-identified appli	eation to:		
The address associated with the above-mentioned Custome				
OR				
The address associated with Customer Number:				
OR				
Firm or Individual Name				
Address		: "		
Country	State		Zip	
Telephone	Fax			
I <u>am</u> the:				
Applicant/Inventor.				
Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/St	3/96)	,		
SIGNATURE of Applica	ant or Assignee	of Record		
Signature		Date	5-5-05	
Name Anthony M. Sorge		Teleph	one 858-457-19/9	
Title and Company Chief Executive Officer of Gene Therapy Syste	ms, Inc.			
NOTE: Signatures of all the Inventors of easignass of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one alignature is required, see below.				
Total of one (1) forms are submitted.		,	•	

This collection of Information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a bonefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTQ/SB/96 (09-04

Approved for use through 07/31/2006. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)			
Applicant/Patent Owner: Xiaowu Liang			
Application No./Patent No.: 09/919,758 Filed/Issue Date: July 31, 2001			
Entitled: METHOD FOR GENERATING TRANSCRIPTIONALLY ACTIVE DNA FRAGMENTS			
GENE THERAPY SYSTEMS, INC. , a Corporation (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)			
states that it is: 1. the assignee of the entire right, title, and interest, or			
2. an assignee of less than the entire right, title and interest. The extent (by percentage) of its ownership interest (s			
in the patent application/patent identified above by virtue of either.			
A assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 0.11082 , Frame 0.291 , or for which a copy thereof is attached.			
OR B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:			
1. From:To:			
The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.			
2, From:To:			
The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.			
_			
3. From:			
Reel, Frame, or for which a copy thereof is attached.			
Additional documents in the chain of title are listed on a supplemental sheet.			
Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]			
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.			
Anthony Seasof 858-457-1919			
Printed or Typed Name Telephone Number			
Title			

This collection of Information is required by 37 CFR 3.73(b). The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an epitication. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sern to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

DRAFT

July_____, 2005

Privileged and Confidential Attorney-Client Communication David C. Foster david.foster@hellerehrman.com Direct (650) 324-7029 Main (650) 324-7000 Fax (650) 324-0638

Anthony Sorge Gene Therapy Systems, Inc. 10190 Telesis Court San Diego, CA 92121

Re: U.S. Patent Application Serial No. 09/919,758

Filed: July 31, 2001

Title: Method for Generating Transcriptionally Active DNA

Fragments

Our Reference: 40019-0008

PK

Dear Mr. Sorge:

This is to inform you that on July ___ 2005, we faxed filed a Power of Attorney and Correspondence Address Indication Form and Statement Under 37 CFR 3.73(b) with the United States Patent and Trademark Office in the above-referenced patent application. Enclosed are copies for your records.

We will continue to keep you advised as further developments occur. If you have any questions or wish additional information, please call me.

Very truly yours,

David C. Foster

DCF/sr

Enclosures: As noted.

SV 2138437 v1 (40019.0008)